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PTO/SB/21 (04-04)

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TDAN	NSMITTAL		Appl	cation Number	10/660,3	89
FORM			Filing	Date	9-11-200	3
_			First	Named Inventor	Abtar Sir	ngh et al.
(to be used for all correspondence after initial filing)			Art U	Init	2857	
			Examiner Name		Manuel l	Barbee
Total Number of Pages in This Submission			Attor	ney Docket Number	5264-00	0003/COB
ENCLOSURES (check all that apply)						
Fee Transmittal F	-orm	☐ Drawin	g(s)	<del></del>		Allowance Communication to nology Center (TC)
Fee Attached	ı	Licensi	ng-rela	ted Papers		al Communication to Board of als and Interferences
Amendment / Rep	ply	Petition	1			al Communication to TC al Notice, Brief, Reply Brief)
After Final				overt to a oplication	Propri	etary Information
Affidavits/dec	claration(s)			ney, Revocation rrespondence Address	☐ Status	Letter
Extension of Time	Extension of Time Request		Terminal Disclaimer			Enclosure(s) e identify below):
Express Abandonment Request		Request for Refund  CD, Number of CD(s)		cii De	orm HDP-1449 with references ted (2 Foreign Patent ocuments; 1 Other; 2 Search eports); and Acknowledgment	
Information Disclo	osure Statement				Postcard	
Certified Copy of Document(s)	Priority	Remai	rks	fees that may be req	uired under 3	orized to charge any additional 7 CFR 1.16 or 1.17 to Deposit copy of this sheet is enclosed.
Response to Miss Incomplete Applic					, , , , , , , , , , , , , , , , , , ,	copy of this critical to criticated.
Response to Parts under 3 1.52 or 1.53		**This is a Supplemental Information Disclosure Statement			tement	
	SIGNA	TURE OF A	APPLIC	CANT, ATTORNEY,	R AGENT	· · · · ·
Firm or Harness, Dickey & Pie		Pierce, P.L.0		ttorney Name lichkel Malinzak	1	eg. No. 3,770
Signature			17	1		
Date August 4, 2004						
	C	ERTIFICAT	E OF	TRANSMISSION/MA	ILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
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This collection of information is required by 37 CFF 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the combited application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form anti/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

August 4, 2004

Date

2004 E	1995 no nerson	U.S. Patent and Tra	PTO/SB/21 (04-04) oproved for use through 07/31/2006. OMB 0651-0031 demark Office: U.S. DEPARTMENT OF COMMERCE information unless it displays a valid OMB control number.			
<b>4</b>	1000, 110 person	Application Number	10/660,389			
TRANSMITTAL		Filing Date	9-11-2003			
FORM		First Named Inventor	Abtar Singh et al.			
(to be used for all correspondence after initi	al filing)	Art Unit	2857			
		Examiner Name	Manuel L. Barbee			
Total Number of Pages in This Submission		Attorney Docket Number	5264-000003/COB			
	ENCLO	SURES (check all that apply)				
Fee Transmittal Form	☐ Drawin		After Allowance Communication to Technology Center (TC)			
Fee Attached	Licens	ing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Petition	n ·	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final		n to Convert to a ional Application	Proprietary Information			
Affidavits/declaration(s)	Affidavits/declaration(s)		Status Letter			
Extension of Time Request	Termin	nal Disclaimer	Other Enclosure(s) (please identify below):			
Express Abandonment Request	Express Abandonment Request Request CD, No.		Form HDP-1449 with references cited (2 Foreign Patent Documents; 1 Other; 2 Search Reports); and Acknowledgment			
Information Disclosure Statement			Postcard			
Certified Copy of Priority Document(s)	Rema	fees that may be requi	hereby authorized to charge any additional ired under 37 CFR 1.16 or 1.17 to Deposit A duplicate copy of this sheet is enclosed.			
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53		Supplemental Information Disc	closure Statement			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Individual name  Harness, Dickey & Pierce, P.L.		C. Attorney Name Reg. No. 43,770				
Signature	Signature					
Date August 4, 2004						
	ERTIFICA	TE OF TRANSMISSION/MAI	LING			
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Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Signature		$\Box$			W	$\overline{}$	Date	August 4, 2004

This collection of information is required by 37 CFF 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confident all y is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Solication No.:

10/660,389

Filing Date:

9-11-2003

Applicant:

Abtar Singh et al.

Group Art Unit:

2857

Examiner:

Manuel L. Barbee

Title:

FOOD QUALITY AND SAFETY MODEL FOR

REFRIGERATED FOOD

Attorney Docket:

5264-000003/COB

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

## I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. COPIES

A Submitted herewith is a legible copy of (i) each U.S. patent application
publication and U.S. and foreign patent; (ii) each publication or that portion which
caused it to be listed; (iii) for each cross-referenced pending U.S. application
listed below in Section IV, the application specification including the claims, an
any drawing of the application which caused it to be listed including the claim
directed to that portion; and (iv) all other information or that portion which cause
it to be listed.

B. \_\_\_\_ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

# U.S. Filing Date

	C. X Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.
	D This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. If the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))
III.	CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)
	A. X Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).
	B A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):
	<ol> <li>See the attached foreign patent office communication from a counterpart foreign application.</li> <li>English translations are provided.</li> <li>Other:</li> </ol>
	C. X The following additional information is provided for the Examiner's consideration:
	Supplementary Partial European Search Report (Application No. EP 02 73 1544); and
	Supplementary European Search Report (Application No. EP 02 72 9050).
V.	CROSS REFERENCE TO RELATED APPLICATION(S)
	AThe Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122.

#### V. THIS IDS IS BEING FILED UNDER

A.\_\_\_\_ 37 C.F.R. § 1.97(b): (check only one box)
1. \_\_\_\_ within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.
2. \_\_\_\_ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.

- 3. \_\_\_\_ before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).
- 4. \_\_\_\_ before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.

### B. X 37 C.F.R. § 1.97(c): (check only one box)

before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.

- 1. \_\_\_\_No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
- 2. X See the certification below. No fee is required.

## C. \_\_\_\_37 C.F.R. § 1.97(d):

after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.

1. \_\_\_\_See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

## VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box) The undersigned hereby certifies that: A. X each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or B. \_\_\_\_ no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)). C. \_\_\_\_Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS. VII. STATEMENT UNDER 37 CFR 1.704(d) The undersigned hereby states that: each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS. VIII. PAYMENT OF FEES (check only one box) A. \_\_\_\_ A check in the amount of \$180.00 is enclosed for the above-identified fee. B. \_\_\_\_Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

Dated:

HARNESS, DICKEY & PIERCE, P.L.C.

P.O. Box 828

Bloomfield Hills, Michigan 48303

(248) 641-1600

CHB/MAM/ca



FORMADP-1449 (Based on Form PTO-1449)

# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1

ATTORNEY DOCKET No.	SERIAL NO.		
5264-000003/COB	10/660,389		
APPLICANT			
Abtar Singh et al.			
FILING DATE	GROUP		
9-11-2003	2857		

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		5,181,389	01-26-1993	Hanson et al.		
2.		5,460,006	10-24-1995	Torimitsu		
3.		5,946,922	09-07-1999	Viard et al.		
4.		6,215,405	04-10-2001	Handley et al.		

FORE	FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	n No	
1.		WO 02/14968 A1	21.02.2002	PCT				
2.		EP 1 187 021 A2	13.03.2002	EPO			1	

OTHE	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)					
Ref. Desig.	Examiner's Initials					
1.		PIN Carmen, BARANYI Jozsef, Predictive Models as Means to Quantify the Interactions of Spoilage Organisms, INTERNATIONAL JOURNAL OF FOOD MICROBIOLOGY, ol. 41, No. 1, 1998, Pages 59-72, XP-002285119				

Examiner:	Date Considered:
Examiner.	Date Considered.